



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Samuel Daniel Dull, III et al

Date: June 22, 2001

Serial No.: 09/821,920

Group Art Unit: 2182

Filed: March 30, 2001

Confirmation No.: 7671

For: METHOD AND APPARATUS FOR  
INSTALLING AND UPGRADING AN  
APPLICATION IN A COMPUTER  
SYSTEM

Examiner: Unknown

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

**LETTER**

In response to the Notice To File Missing Parts of Nonprovisional Application mailed May 9, 2001, enclosed herewith are formal drawings (4 sheets) to replace the informal drawings filed for the above-identified application.

Please charge my Deposit Account No. 09-0465 for any fees necessary. A copy of the Notice is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on

June 22, 2001

(Date of Deposit)

Grant A. Johnson

Respectfully submitted,

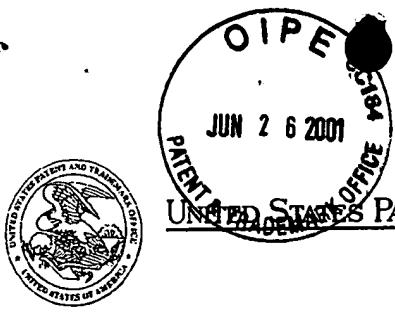
By:

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Docket No.: ROC920010099US1

Serial No.: 09/821,920



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/821,920	03/30/2001	Samuel Daniel Dull, III	ROC920010099US1

CONFIRMATION NO. 7671

FORMALITIES LETTER

Grant A. Johnson  
IBM Corporation, Dept. 917  
3605 Highway 52 North  
Rochester, MN 55901-7829



Date Mailed: 05/09/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Samuel Daniel Dull, III*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

Adjustment date: 07/27/2001 SSALEEKU  
06/20/2001 DNGUYEN1 00000182 090465 09821920  
01-FC:105 130.00 CH

*A copy of this notice MUST be returned with the reply.*

06/20/2001 DNGUYEN1 00000182 090465 09821920

01-FC:105 130.00 CH

Au

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE